

MINISTRY OF PORTS, SHIPPING, AND WATERWAYS

New Delhi, the XX, 2026.

NOTIFICATION

G.S.R. XXX . — In exercise of the powers conferred by clause (a) of sub-section (1) and sub-section (2) of section 116, sub-sections (2) and (3) of section 129, sub-section (1) of section 130 and clauses (a), (b), (g) and (h) of sub-section (2) of section 130 of the Merchant Shipping Act, 2025 (24 of 2025), and in supersession of the Merchant Shipping (Ships and Port Facility Security) Rules, 2024, except as respects things done or omitted to be done before such supersession, the Central Government hereby makes the following rules, namely:—

1. **Short title and commencement.** — (1) These rules may be called the Merchant Shipping (Ships and Port Facility Security) Rules, 2026.
(2) They shall come into force on the date of their publication in the Official Gazette.
2. **Application.** — These Rules shall apply to matters relating to the security of the following, namely—
 - (a) Indian vessels and other vessels registered in India under the Act, being ships within the meaning of clause (59) of section 3 of the Act, which are engaged on international voyages, and shall include—
 - (i) passenger ships, including high-speed passenger craft;
 - (ii) cargo ships, including high-speed craft, of five hundred gross tonnage and upwards; and
 - (iii) mobile offshore drilling units, but shall not include sailing vessels, fishing vessels, or vessels of less than fifteen tonnage engaged solely in the coasting trade of India, subject to the proviso to section 261 of the Act;
 - (b) vessels other than Indian vessels, being ships as referred to in clause (a), when such vessels are engaged on international voyages and are within India, including its coastal waters;
 - (c) Port facilities; and
 - (d) Company.
3. **Definitions.** — (1) In these rules, unless the context otherwise requires,—
 - (a) “Act” means the Merchant Shipping Act, 2025 (24 of 2025);
 - (b) “audit” means a method or process of systematic and independent verification by obtaining objective evidence to determine whether the port facility-related or ship-related security activities comply with the applicable requirements of the Safety Convention, considering the approved security plan as criteria;
 - (c) “Auditor” means a surveyor appointed under section 9 of the Act or a surveyor of a recognised security organisation, who has the qualification and experience specified by the Administration;

- (d) “approved training institute” means an institute approved by the Administration;
- (e) “Company Security Officer” means the person designated by a company for ensuring—
- (i) ship security assessment;
 - (ii) development of ship security plan and submission of such plan for approval;
 - (iii) implementation of ship security plan;
 - (iv) maintenance of ship security; and
 - (v) liaison with port facility security and ship security officers;
- (f) “Contracting government” means a government of any country that has agreed to be bound by the Safety Convention;
- (g). “Designated Authority” means the Bureau of Port Security, constituted under sub-section (1) of section 13 of the Act, by the Contracting Government, which shall be responsible for ensuring the implementation and enforcement of the provisions of these Rules**
- (h) “International Ship Security Certificate” means a certificate issued by the Administration or his authorised person, in accordance with these rules or the International Ship and Port Facility Security Code;
- (i) “Mercantile Marine Department” means the Mercantile Marine Department established under section 11 of the Act;
- (j) “oil tanker” means a ship constructed or adapted primarily to carry oil in bulk in its cargo spaces and includes combination carriers;
- (k) “Port Facility Security Advisory Committee” means a committee constituted under rule 19;
- (l) “port facility security assessment” means a port facility security assessment undertaken in accordance with rule 17;
- (m) “Port Facility Security Officer” means a person designated as responsible for the development, implementation, revision and maintenance of the port facility security plan and for liaison with the ship security officers and company security officers;
- (n) “Port facility security plan” means a plan to ensure the application of measures designed to protect the port facility and ships, persons, cargo, cargo transport units and stores of ship within the port facility from the risks of a security incident;
- (o) “recognised security organisation” means any organisation, company, firm or body of individuals having expertise in matters relating to security and knowledge of ship and port operations, which or who are authorised by the Central Government by notification in the Official Gazette to carry out assessment, verification, approval or certification required by these rules;
- (p) “Schedule” means Schedules annexed to these rules;
- (q) “security incident” means any suspicious act or circumstance threatening

the security of a ship, including a mobile offshore drilling unit and a high-speed craft, or of a port facility or of any ship or port interface, or any ship-to-ship activity;

(r) "Security level" means the qualification of the degree of risk that a security incident will be attempted or will occur;

(s) "security level 1" means the level for which minimum appropriate protective security measures shall be maintained at all times;

(t) "security level 2" means the level for which appropriate additional protective security measures shall be maintained for a period of time as a result of heightened risk of a security incident;

(u) "security level 3" means the level for which further specific security measures shall be maintained for a limited period of time when a security incident is probable or imminent, although it may not be possible to identify the specific target;

(v) "ship" includes mobile offshore drilling units, high speed craft, floating production storage and offloading, floating storage and regasification unit;

(w) "Ship Security Officer (SSO)" means the person on board the ship, accountable to the master, who is designated by the Company as responsible for the security of the ship, including implementation and maintenance of the ship security plan, and for liaison with the company security officer and port facility security officers;

(x) "Ship security plan (SSP)" means a plan developed to ensure the application of measures on board the ship designed to protect persons on board, cargo, cargo transport units, ship's stores or the ship from the risks of a security incident.

(2) Words and expressions used in these rules but not defined herein shall have the respective meanings assigned to them in Part VI of the Act, or the International Ship and Port Facility Security Code, or the Safety Convention.

4. Responsibility of Contracting Government with respect to security —(1) The Designated Authority shall set the applicable security levels for port facilities within its territory and ensure timely dissemination of such information to port facilities and to ships prior to entering, or while within, any port in its territory. Changes in security levels shall be communicated and updated as the circumstances dictate.

(2) The Designated Authority shall set the applicable security levels for ships entitled to fly its flag and ensure timely dissemination of such information. Any change in the security level shall be communicated forthwith and updated as circumstances require.

(3) Subject to regulations XI-2/3 and XI-2/7 of the Safety Convention, the Designated Authority in consultation with Director General Maritime Administration shall

determine the appropriate security level and provide guidance for protection against security incidents. In determining the security level, due regard shall be had to—

- (a) the credibility of threat information;
- (b) the degree of corroboration of such information;
- (c) the specificity or imminence of the threat; and
- (d) the potential consequences of a security incident.

Higher security levels shall indicate a greater likelihood of occurrence of a security incident.

(4) The Designated Authority may delegate to a Recognised Security Organisation such security-related duties under chapter XI-2 and this Part of the Code as it considers appropriate, except the following:—

(a) ensuring protection of security-sensitive material relating to port facility security assessments and port facility security plans;

(b) approving a Port Facility Security Assessment and amendments thereto;

(c) determining the port facilities required to designate a Port Facility Security Officer;

(d) approving a Port Facility Security Plan and amendments thereto.

(5) The Designated Authority shall be responsible for the following, namely:—

(a) ensuring that appropriate measures exist to prevent unauthorised access to, or disclosure of, security-sensitive material relating to ship security assessments, ship security plans, and individual assessments or plans;

(b) setting the applicable security levels for ships;

(c) exercising control and compliance measures in accordance with regulation XI-2/9 of the Safety Convention;

(d) establishing the requirements for a Declaration of Security;

(e) communicating information regarding any security breach and relevant mitigation measures to the International Maritime Organization and making such information publicly available; and

(f) resolving any conflict between safety and security requirements in ship operations, ensuring that safety shall prevail, and issuing necessary directions accordingly.

(6) The Designated Authority shall, to the extent considered appropriate, test the effectiveness of ship security plans and port facility security plans, including amendments thereto, that have been approved by them or, in the case of ships, on their behalf.

5. Master's discretion for ship safety and security.— (1) The master shall not be constrained by the Company, the charterer, or any other person from taking or executing any decision which, in the professional judgement of the master, is necessary for

maintaining the safety and security of the ship. Such discretion shall include the authority to deny access to any person (other than those duly authorised by the Administration), their effects, and to refuse loading of cargo, including containers or other closed cargo transport units.

(2) Where, in the professional judgement of the master, a conflict arises between the safety and security requirements applicable to the ship during its operations, the master shall give effect to those requirements necessary to maintain the safety of the ship. In such circumstances, the master may implement temporary security measures and shall forthwith inform the Administration and, where appropriate, the Contracting Government in whose port the ship is operating or intends to enter.

(3) Any temporary security measures adopted under sub-rule (2) shall, to the highest practicable degree, be commensurate with the prevailing security level.

(4) Upon being informed of such instances, the Administration shall take necessary steps to resolve the conflict and ensure that the possibility of recurrence is minimised.

6. **Declaration of Security.** — (1) The Designated Authority shall determine as to when a Declaration of Security is required by assessing the risk the ship or port interface or ship-to-ship activity, poses to persons, property, or the environment.

(2) A ship may request completion of a Declaration of Security in the following circumstances, namely:—

- (a) the ship is operating at a higher security level than the port facility, or another ship it is interfacing with; or
- (b) there is an agreement on Declaration of Security between contracting governments covering certain international voyages or specific ships on those voyages; or
- (c) there has been a security threat or a security incident involving the ship, or involving the port facility, as applicable; or
- (d) the ship is at a port which is not required to have and implement an approved port facility security plan; or
- (e) the ship is conducting ship-to-ship activities with another ship not required to have and implement an approved Ship Security Plan.

(3) Any request for the completion of a Declaration of Security shall be acknowledged by the applicable port facility or ship in accordance with the format specified in Schedule - III.

(4) The Declaration of Security shall be completed by the —

- (a) Master or the Ship Security Officer on behalf of the ship; and,
- (b) Port Facility Security Officer or, if the Designated Authority determines otherwise, by any other body responsible for shore-side security, on behalf of the port facility.

(5) The Declaration of Security shall address the security requirements that could be shared between a port facility and a ship or between ships and shall state the responsibility for each.

- (6) Ships shall keep records of the Declaration of Security for a minimum period of three years.
- (7) A change in the security level may require that a new or revised Declaration of Security be completed.
- (8) The Declaration of Security shall be completed in English.

7. Obligation of companies and ships. —

- (1) Companies shall comply with the relevant requirements of this chapter and of part A of the ISPS Code, taking into account the guidance given in part B of the ISPS Code.
 - (2) Ships shall comply with the relevant requirements of this chapter and of part A of the ISPS Code, taking into account the guidance given in part B of the ISPS Code, and such compliance shall be verified and certified as provided for in part A of the ISPS Code.
 - (3) Prior to entering a port or whilst in a port within the territory of a Contracting Government, a ship shall comply with the requirements for the security level set by that Contracting Government, if such security level is higher than the security level set by the Administration for that ship.
 - (4) Ships shall respond without undue delay to any change to a higher security level.
 - (5) Where a ship is not in compliance with the requirements of this chapter or of part A of the ISPS Code, or cannot comply with the requirements of the security level set by the Designated Authority or by another Contracting Government and applicable to that ship, then the ship shall notify the appropriate competent authority prior to conducting any ship/port interface or prior to entry into port, whichever occurs earlier.
 - (6) The Company shall ensure that the master has available on board, at all times, information through which officers duly authorised by Administration can establish:
 - (a) who is responsible for appointing the members of the crew or other persons currently employed or engaged on board the ship in any capacity on the business of that ship;
 - (b) who is responsible for deciding the employment of the ship; and
 - (c) in cases where the ship is employed under the terms of charter party(ies), who are the parties to such charter party(ies).
 - (7) Every company shall fulfill the following responsibilities, namely:—
 - (a) ensuring that the ship security plan contains a clear statement emphasising the authority of the Master,
 - (b) providing in the ship security plan that the Master has the overriding authority and responsibility to make decision with respect to the safety and security of the ship and to request the assistance of the company or of any contracting government or of the Administration, as may be necessary;
 - (c) designating a Company Security Officer; and
 - (d) ensuring that the Company Security Officer, Master, and the Ship Security Officer are given necessary support to fulfill their duties and responsibilities in accordance with the Safety Convention.
8. **Obligation of recognised security organisation.** — The recognised security

organisation shall fulfill the responsibilities as may be specified by the Designated Authority for the purposes of these rules.

9. **Ship security—(1)** Every ship shall act upon the security levels set by the Designated Authority.
- (2) The following activities shall be carried out, through appropriate measures at security level 1, on all ships taking in order to identify and take preventive measures against security incidents, namely:—
- (a) ensure the performance of all ship security duties;
 - (b) control access to the ship;
 - (c) control the embarkation of persons and their effects;
 - (d) monitor restricted areas to ensure that only authorised persons have access;
 - (e) monitor deck areas and areas surrounding the ship;
 - (f) supervise the handling of cargo and stores in ship; and
 - (g) ensure that security communication is readily available.
- (3) The additional protective measures, specified in the ship security plan, shall be implemented at security level 2, for each detailed measure as specified in sub rule (2), taking into account the guidance in Part B of the International Ship and Port Facility Security Code and the guidelines issued by the Administration in this behalf.
- (4) Specific protective measures, specified in the ship security plan shall be implemented at security level 3, for each detailed measure as specified in sub rule (2) taking into account the guidance in Part B of the International Ship and Port Facility security Code and the appropriate instructions that may be issued by the Administration for handling security level 3 for ships or ports in the behalf.
- (5) Whenever security level 2 or security level 3 is set, the ship shall acknowledge receipt of the instructions on change of the security level.
- (6) Prior to entering port, or while in a port, or within the territory of India, where security level 2 or security level 3 is set, the ship shall acknowledge receipt of the instruction and shall confirm to the Port Facility Security Officer, the initiation of the implementation of the appropriate measures and procedures as detailed in the ship security plan, and in the case of security level 3, the ship shall do the same in respect of the instructions issued by the Administration.
- (7) The ship shall report any difficulties in implementation, and in such cases, the Port Facility Security Officer and Ship Security Officer shall liaise and coordinate the appropriate actions.
- (8) Where a ship is required by the Administration to set, or is already at, a higher security level than that set for the port it intends to enter or in which it is already located, then the ship shall inform, without delay, the Administration and the port facility security officer of the situation:

Provided that, in such cases, the ship security officer shall liaise with the port facility security officer and coordinate appropriate actions, where necessary.

- (9) The Designated Authority requiring Indian ships to set security level 2 or security level 3 in a port of another Government shall inform that Government without delay.
- (10) Where the Designated Authority sets security levels and ensures the provision of security level information to ships operating in Indian territorial sea, or having communicated the intention to enter their territorial sea, such ships shall be advised to maintain vigilance and report immediately to the Administration and any nearby country any information that comes to their attention that might affect maritime security in the that area:

Provided that, when advising such ships of the applicable security level, the Administration shall also advise those ships of any security measure that they shall take and, where appropriate, of measures that have been taken by the Administration to provide protection against the threat.

10. **Ship security assessment.** — (1) The Company Security Officer shall ensure that a ship security assessment is carried out for each of the ships in the fleet of the company.
- (2) The Company Security Officer shall ensure that the ship security assessment is carried out by persons with appropriate skills to evaluate the security of a ship.
 - (3) A recognised security organisation may carry out the ship security assessment of a specific ship subject to Rule 10(2) of these rules.
 - (4) The ship security assessment shall include an on-scene security survey and, at least, the following elements shall be verified, namely: —
 - (a) identification of existing security measures, procedures and operations;
 - (b) identification and evaluation of key ship board operations, which are important to protect;
 - (c) identification of possible threats to the key ship board operations and the likelihood of their occurrence, in order to establish and prioritise security measures ; and
 - (d) identification of weaknesses, including human factors in the infrastructure, policies and procedures.
 - (5) The ship security assessment shall be prepared after taking into account the provisions of Part B of the International Ship and Port Facility Security Code.
 - (6) An initial ship security assessment shall be carried out before the ship is put into service.
 - (7) The ship security assessment shall be carried out as and when the Designated Authority may deem necessary.

- (8) The ship security assessment shall be documented, reviewed, accepted, and retained by the company.

11. **Ship security plan**—(1) Every ship shall carry on board a ship security plan approved by the Designated Authority:

Provided that such plans shall make provisions for security levels 1, 2, and 3 as provided in these rules and the International Ship and Port Facility Security Code.

- (2) The recognised security organisation, undertaking the review of a ship security plan or its amendment, shall not have been involved in either the preparation of the ship security assessment or of the ship security plan, or of the amendments, under review.
- (3) The submission of a ship security plan or of amendments to a previously approved plan for approval shall be accompanied by the security assessment on the basis of which the plan or the amendments have been developed.
- (4) Ship security plan shall be prepared and developed, taking into account the guidance given in Part B of the International Ship and Port Facility Security Code and shall be written in English and the working language or languages of the ship.
- (5) The ship security plan shall include the following, namely: —
- (a) measures designed to prevent weapons, dangerous substances and devices intended for use against people, ships or ports and the carriage of which is not authorised from being taken on board the ship;
 - (b) identification of the restricted areas and measures for the prevention of unauthorised access;
 - (c) measures for the prevention of unauthorised access to the ship;
 - (d) procedures for responding to security threats or breaches of security, including provisions for maintaining critical operations of the ship or ship or port interface;
 - (e) procedures for responding to any security instructions the Designated Authority may give at security level 3;
 - (f) procedures for evacuation in case of security threats or breaches of security;
 - (g) duties of shipboard personnel assigned security responsibilities and of other shipboard personnel on security aspects;
 - (h) procedures for auditing the security activities in accordance with Schedule- IV;
 - (i) procedures for training, drills and exercises associated with the ship security plan;
 - (j) procedures for interfacing with port facility security activities;
 - (k) procedures for the periodic review of the ship security plan and for

- updating;
- (l) procedures for reporting security incidents;
 - (m) identification of the ship security officer;
 - (n) identification of the Company Security Officer along with twenty-four hour contact details;
 - (o) procedures to ensure inspection, testing, calibration and maintenance of any security equipment provided on board as per Schedule –II;
 - (p) frequency for testing or calibration of any security equipment provided on board, if any;
 - (q) identification of the locations where the ship security alert system activation points are provided; and
 - (r) procedures, instructions and guidance on the use of the ship security alert system, including the testing, activation, deactivation and resetting to limit false alerts.
- (6) The personnel conducting internal audits of the security activities specified in the ship security plan, or evaluating the implementation of such plan, shall be independent of the activities being audited unless it is impracticable due the size and the nature of the company or ship.
- (7) No changes to an approved ship security plan or to any security equipment specified in an approved plan shall be implemented unless the relevant amendments to the ship security plan are approved by the Designated Authority, and any such changes shall be at least as effective as those measures specified in the Convention and International Ship and Port Facility Security Code.
- (8) The nature of the changes to the ship security plan or the security equipment that has been specifically approved by the Designated Authority shall be documented in a manner that clearly indicates such approval and such approvals shall be made available on board and shall be presented together with the International Ship Security Certificate or the Interim Ship Security Certificate;
- Provided that where the changes are temporary, once the original approved measures or equipment are reinstated, such documentation no longer needs to be retained by the ship.
- (9) The ship security plan may be kept in an electronic format, and in such a case, it shall be protected by procedures aimed at preventing its unauthorised access, deletion, destruction, or an amendment.
- (10) The ship security plan shall be protected from unauthorised access or disclosure.
- (11) Subject to the circumstances specified in sub-rule (12), ship security plans are not subject to inspection by officers duly authorised by the Designated Authority to carry out control and compliance measures in accordance with the Safety Convention and the International Ship and Port Facility Security

Code.

- (12) Where the officer duly authorised by the Designated Authority has clear grounds to believe that the ship is not in compliance with the requirements of chapter XI-2 or part A of the ISPS Code, and the only means to verify or rectify the non-compliance is to review the relevant requirements of the ship security plan, limited access to the specific portion of ship security plan relating to the non-compliance shall be allowed, with the prior consent of the contracting government or the master of the ship concerned:

Provided that the provisions of the ship security plan relating to items (b), (d), (e), (g), (o), (q) and (r) of sub rule (5) shall be considered as confidential information and shall not be subject to inspection unless otherwise agreed by contracting government.

12. **Ship security alert system—(1)** All Indian registered ships shall be provided with a ship security alert system, as follows, namely:—

- (a) ships constructed on or after the 1st July, 2004;
 - (b) passenger ships, including high-speed passenger craft, constructed before the 1 July 2004 and not later than the first survey of the radio installation after the 1st July 2004;
 - (c) oil tankers, chemical tankers, gas carriers, bulk carriers and cargo high-speed craft, of 500 gross tonnages and above constructed before the 1st July, 2004, and not later than the first survey of the radio installation after the 1st July, 2004; and
 - (d) other cargo ships of 500 gross tonnages and above and mobile offshore drilling units constructed before the 1st July, 2004, and not later than the first survey of the radio installation after the 1st July, 2006.
- (2) The ship security alert system, when activated, shall —
- (a) initiate and transmit a ship-to-shore security alert to the concerned company and the centre designated by the Administration, which shall identify the ship, its location and indicate whether the security of the ship is under threat or it has been compromised;
 - (b) not send the ship security alert to any other ship;
 - (c) not raise any alarm on-board the ship; and
 - (d) continue the ship security alert until deactivated or reset.
- (3) The ship security alert system shall —
- (a) be capable of being activated from the navigation bridge and in at least one other location and to be fitted in a safe compartment with a power breakup; and
 - (b) conform to performance standards not inferior to those adopted by the International Maritime Organisation.
- (4) The ship security alert system activation points shall be designed so as to

- prevent the inadvertent initiation of the ship security alert.
- (5) When an alert is received from an Indian-registered vessel, the Designated Authority shall contact the ship owner or Company Security Officer and security agency to deal with the security situation.
 - (6) On the receipt of the notification of ship security alert from an Indian ship, the centre designated by Designated Authority shall, in case the vessel is outside India, notify the coastal states in the vicinity of which the concerned ship is operating.
13. **Records.** — (1) Records of the following activities contained in the ship security plan shall be kept on board for at least a minimum period of three years, or as may be specified by the Administration, taking into account the Safety Convention:
- (a) training, drills, and exercises;
 - (b) security threats and security incidents;
 - (c) breaches of security;
 - (d) changes in security level;
 - (e) communications relating to the direct security of the ship such as specific threats to the ship or to port facilities the ship is, or has been;
 - (f) internal audits and reviews of security activities;
 - (g) periodic review of the ship security assessment;
 - (h) periodic review of the ship security plan;
 - (i) implementation of any amendments to the plan; and
 - (j) maintenance, calibration and testing of security equipment, if any including testing of the ship security alert system.
- (2) The records specified in sub rule (1) shall be kept in English and the working language of the ship.
 - (3) The records specified in sub-rule (1) may be kept in an electronic format, and in such a case, they shall be protected by the procedures aimed at preventing their unauthorised deletion, destruction, or amendment.
 - (4) The records specified in sub-rule (1) shall be protected from unauthorised access or disclosure.
14. **Company Security Officer.** — (1) Every company shall designate one or more Company Security Officers.
- (2) A person designated as the Company Security Officer may act as the Company Security Officer for one or more ships, depending on the number or types of ships the company operates:
Provided that, in such a case, it shall be clearly identified for which ships the Company Security Officers shall be responsible.
 - (3) A Company Security Officer shall possess the following minimum qualification namely:-
 - (a) Management Level Certificate of Competency (Foreign Going) having

Merchant Marine Background for company having ships above 500 gross tonnage; and

- (b) Company Security Officer Certificate from an approved training institute:

Provided that, in case of company having ships less than 500 gross tonnage, the Company Security Officer shall have sailing experience on merchant ships with operation level Certificate of Competency (Foreign Going) and shall hold Company Security Officer Certificate from an approved training institute.

- (4) The Company Security Officer shall fulfil the following duties and responsibilities namely: —
 - (a) advising on the level of threats likely to be encountered by the ship, using appropriate security assessments and other relevant information;
 - (b) ensuring that ship security assessments are carried out;
 - (c) ensuring the development, the submission for approval, and there after the implementation and maintenance of the ship security plan and to place it on board of the individual ship;
 - (d) ensuring that the ship security plan is modified, as appropriate, to correct deficiencies and satisfy the security requirements of the individual ship;
 - (e) arranging for internal audits and reviews of security activities;
 - (f) arranging for the initial and subsequent verifications of the ship by the Administration or the recognised security organisation;
 - (g) ensuring that deficiencies and non-conformities identified during internal audits, periodic reviews, security inspections and verifications of compliance are promptly addressed and dealt with;
 - (h) enhancing security awareness and vigilance;
 - (i) ensuring adequate training for the personnel responsible for the security of the ship;
 - (j) ensuring effective communication and co-operation between the Ship Security Officer and the relevant Port Facility Security Officers;
 - (k) ensuring consistency between security requirements and safety requirements; and
 - (l) ensuring that any alternative or equivalent arrangements approved for a particular ship or group of ships are implemented and maintained.

15. **Ship Security Officer.** — (1) A management level officer of the ship holding Ship Security Officer Certificate from an approved training institute shall be designated as the Ship Security Officer of the ship by the company.

- (2) The duties and responsibilities of the Ship Security Officer shall include the following, namely: —
 - (i) undertaking regular security inspections of the ship to ensure that

- appropriate security measures are maintained;
- (ii) maintaining and supervising the implementation of the ship security plan, including any amendments to the plan;
- (iii) coordinating the security aspects of handling of cargo stores in ships with other ship-board personnel and with the relevant port facility security officers;
- (iv) proposing modifications to the ship security plan;
- (v) reporting to the Company Security Officer any deficiencies and non-conformities identified during internal audits, periodic reviews, security inspections and verifications of compliance and implementing any corrective actions;
- (vi) enhancing security awareness and vigilance on-board;
- (vii) ensuring that adequate training has been provided to ship-board personnel, as appropriate;
- (viii) reporting all security incidents;
- (ix) coordinating implementation of the ship security plan with the Company Security Officer and the relevant Port Facility Security Officer; and
- (x) ensuring that security equipment is properly operated, tested, calibrated and maintained, if any.

16. **Training, drills, and exercise on ship security**—(1) The Company Security Officer and shore-based personnel shall hold a Company Security Officer Certificate from an approved training institute, taking into account the provisions given in Part B of the International Ship and Port Facility Security Code.

(2) The Ship Security Officer shall hold a Ship Security Officer Certificate from an approved training institute taking into account the provisions given in Part B of the International Ship and Port Facility Security Code.

(3) The ship-board personnel having specific security duties and responsibilities shall understand their responsibilities for ship security as described in the ship security plan and shall have sufficient knowledge and ability to perform their assigned duties, taking into account the guidance given in Part B of International Ship and Port Facility Security Code.

(4) To ensure the effective implementation of the Ship Security Plan, drills shall be carried out at least, in every three months interval, taking into account the ship type, ship personnel changes, port facilities to be visited and other relevant circumstances including the guidance given in Part B of the International Ship and Port Facility Security Code.

(5) The Company Security Officer shall ensure the effective coordination and implementation of ship security plans by participating in exercises at appropriate intervals, taking into account the guidance given in Part B of International Ship and Port Facility Security Code.

17. **Port facility security**—(1) A port facility shall act upon the security levels set by

the Designated Authority.

- (2) Security measures and procedures shall be applied at the port facility in such a manner as to cause a minimum of interference with, or delay to, passengers, ship, personnel of ship and visitors, goods, and services.
- (3) A Non - Exim port facility shall establish and maintain a security level that is proportionate to, and reasonably justified by, the prevailing threat perception and the findings of the security assessment.
- (4) The following activities shall be carried out through appropriate measures at security level 1 in all port facilities, taking into account the guidance given in Part B of the International Ship and Port Facility Security Code, in order to identify and take preventive measures against security incidents, namely: —
 - (a) ensuring the performance of all port facility security duties;
 - (b) controlling access to the port facility;
 - (c) monitoring of the port facility, including anchoring and berthing areas;
 - (d) monitoring restricted areas to ensure that only authorised persons have access;
 - (e) supervising the handling of cargo;
 - (f) supervising the handling of stores in ship ; and
 - (g) ensuring that security communication is readily available.
- (4) The additional protective measures, specified in the port facility security plan, shall be implemented at security level 2, for each activity specified in sub-rule (3), taking into account the guidance given in Part B of the International Ship and Port Facility Security Code.
- (5) The following shall be implemented at security level 3, namely: —
 - (a) specific protective measures specified in the port facility security plan, shall be implemented for each activity specified in sub-rule (4), taking into account the guidance given in Part B of the International Ship and Port Facility Security Code; and
 - (b) port facilities are required to respond to and implement any security instructions as may be given by the Designated Authority.
- (6) When a Port Facility Security Officer is advised that a ship encounters difficulties in complying with the requirements of Safety Convention or in implementing the appropriate measures and procedures as detailed in the ship security plan, and in the case of security level 3 following any security instructions given by the Designated Authority, the Port Facility Security Officer and Ship Security Officer shall liaise and co-ordinate appropriate actions.
- (7) When a Port Facility Security Officer is advised that a ship is at a security level, which is higher than that of the port facility, the Port Facility Security Officer shall report the matter to the Designated Authority and shall liaise with the Ship Security Officer and coordinate appropriate actions,

if necessary.

- (8) Each port shall have an independent qualified and trained Port Facility Security Officer.

18. **Port facility security assessment**—(1) The port facility security assessment is an essential and integral part of the process of developing and updating the port facility security plan.

(2)

port facility security assessment shall be carried out by the Designated Authority or recognised security organisation for all Indian ports:

Provided that where the port facility security assessment is carried out by the

recognised security organisation, such port facility security assessment shall be reviewed and approved for compliance with the International Ship and Port Facility Security Code by the Designated Authority.

- (3) The Designated Authority or recognised security organisation may nominate a surveyor to carry out the port facility security assessment.

- (4) The persons carrying out the assessment shall have appropriate skills to evaluate the security of the port facility in accordance with this section, taking into account the guidance given in Part B of the International Ship and Port Facility Security Code.

- (5) The port facility security assessments shall periodically be reviewed and updated, taking into account of changing threats or minor changes in the port facility and shall always be reviewed and updated when major changes to the port facility take place.

- (6) The port facility security assessment shall include the following elements, namely: —

- (a) identification and evaluation of important assets and infrastructure it is important to protect;
- (b) identification of possible threats to the assets and infrastructure and the likelihood of their occurrence, in order to establish and prioritise security measures;
- (c) identification, selection and prioritisation of counter measures and procedural changes and their level of effectiveness in reducing vulnerability; and
- (d) identification of weaknesses, including human factors in the infrastructure, policies, and procedures.

- (7) The Designated Authority may allow a port facility security assessment to cover more than one port facility if the operator, location, operation, equipment and design of these port facilities are similar.

- (8) Upon completion of the port facility security assessment, a report shall be prepared, consisting of a summary of how the assessment was conducted, a

The

description of each vulnerability found during the assessment and a description of counter measures that may be used to address each vulnerability.

- (9) The report prepared under sub-rule (8) shall be protected from unauthorised access or disclosure.

19. Port facility security plan. — (1) Every port shall prepare the port facility security plan of a specific port facility.

- (2) Preparation and implementation of the port facility security plan shall be the responsibility of the Port Facility Security Officer.
- (3) A port facility security plan shall be developed and maintained, on the basis of a port facility security assessment, for each port facility, adequate for the concerned ship or port interface.
- (4) The port facility security plan shall make provisions for the security level- 1, security level- 2 and security level- 3.
- (5) The port facility security plan shall be approved by the Designated Authority.
- (6) The port facility security plan shall be developed taking into account the guidance given in Part B of International Ship and Port Facility Security Code and shall be in English.
- (7) The Port Facility Security Plan shall include the following matters, namely: —
 - (a) measures designed to prevent weapons or any other dangerous substances and devices intended for use against people, ships or ports and the carriage of which is not authorised, from being introduced into the port facility or on board a ship;
 - (b) measures designed to prevent unauthorised access to the port facility, to ships moored at the facility, and to restricted areas of the facility;
 - (c) procedures for responding to security threats or breaches of security, including provisions for maintaining critical operations of the port facility, or ship or port interface;
 - (d) procedures for responding to any security instructions the contracting government, in whose territory the port facility is located, may give at security level 3;
 - (e) procedures for evacuation in case of security threats or breaches of security;
 - (f) duties of port facility personnel assigned security responsibilities and of other facility personnel on security aspects;
 - (g) procedures for interfacing with ship security activities;
 - (h) procedures for the periodic review of the plan and updating;
 - (i) procedures for reporting security incidents;
 - (j) identification of the port facility security officer along with twenty-four hour contact details;
 - (k) measures to ensure the security of the information contained in the plan;
 - (l) measures designed to ensure effective security of cargo and the cargo

- handling equipment at the port facility;
 - (m) procedures for auditing the port facility security plan;
 - (n) procedures for responding in case the ship security alert system of a ship at the port facility has been activated; and
 - (o) procedures for facilitating shore leave for personnel of the ship or personnel changes, as well as access of visitors to the ship including representatives of seafarers' welfare and labor organisations.
- (8) The personnel conducting internal audits of the security activities specified in the plan or evaluating its implementation shall be independent of the activities being audited unless this is impracticable due to the size and the nature of the port facility.
- (9) The Designated Authority shall determine which changes to the port facility security plan shall not be implemented unless the relevant amendments to the plan are approved by them.
- (10) The port facility security plan may be kept in an electronic format, and in such a case, it shall be protected by the procedures aimed at preventing its unauthorised deletion, destruction, or amendment.
- (11) The port facility security plan shall be protected from unauthorised access or disclosure.
- (12) The Designated Authority may allow a port facility security plan to cover more than one port facility if the operator, location, equipment and design of these port facilities are similar.
- (13) In case alternative arrangement with respect to the port facility security plan has been allowed, the particulars of the same shall be communicated to the International Maritime Organisation by the Designated Authority.
20. **Port Facility Security Advisory Committee.** — (1) The Port Facility Security Advisory Committee shall consist of one representative from each of the following, namely:
- (a) Port Facility Security Officer of the respective ports;
 - (b) Customs Department;
 - (c) Narcotics Control Bureau;
 - (d) Central Intelligence Agencies;
 - (e) State Intelligence Agencies;
 - (f) Central Industrial Security Forces;
 - (g) Local Police;
 - (h) Immigration Department;
 - (i) Indian Navy;
 - (j) Indian Coast Guard;
 - (k) State Maritime Security Coordinator; and
 - (l) any other concerned agency of the Central Government or State Government, as deemed fit by the Chairman or Chief Executive Officer

of the concerned port.

- (2) The Chairman of major port shall head the Port Facility Security Advisory Committee and chair any meeting thereof.
- (3) In case of non-major ports, head of the Maritime Board or the concerned State Government with respect to the functioning of the non-major port shall chair the Port Facility Security Advisory Committee of all Ports under their jurisdiction:

Provided that, due to unavoidable circumstances where the meeting of the Port Facility Security Advisory Committee of some smaller ports (non-Exim or non-container ports) is chaired by the nominee of the Chief Executive Officer or Secretary of the Maritime Board or the State Government, the report of Port Facility Security Advisory Committee shall be approved by the Chief Executive Officer or Secretary.

- (4) The Port Facility Security Advisory Committee shall meet at least once in every six months for security review of the port:

Provided that the Port Facility Security Advisory Committee shall meet at more frequent intervals depending on the threat perception of the concerned port, if required.

- (5) The newly constituted Port Facility Security Advisory Committee shall review the approved Port Facility Security Plan of the port:

Provided that the suggestions of custom and Narcotics Control Bureau with regard to the Port Facility Security plan shall be considered by the Port Facility Security Advisory Committee:

Provided further that any proposed corrections accepted by Port Facility Security Advisory Committee shall be incorporated in the port facility security plan and the same shall be forwarded to the Designated Authority for examination and approval after review by the recognised security organisation.

- (6) In case of new port, such port shall constitute a Port Facility Security Advisory Committee:

Provided that the views of all port facility security advisory committee members shall be taken while port facility security plan is prepared for such port:

Provided further that the members of Port Facility Security Advisory Committee shall ensure that their concerns are addressed adequately in the port facility security plan:

Provided also that the port facility security plan shall be vetted by the Port Facility Security Advisory Committee before it is forwarded for submission to the Designated Authority for verification and approval after it is reviewed by recognised security organisation.

- (7) After the review of the port facility security plan in accordance with, the concerned port needs to approach to the jurisdictional Mercantile Marine

Department or other suitable authority assigned by the Designated Authority for the security audit for issuance of statement of compliance or annual verification, as may be applicable.

21. **Port Facility Security Officer.** — (1) A Port Facility Security Officer shall be designated for each port facility.

(2) The duties and responsibilities of the Port Facility Security Officer shall include the following matters, namely: —

- (a) conducting an initial comprehensive security survey of the port facility, taking into account the relevant port facility security assessment;
- (b) ensuring the development and maintenance of the port facility security plan;
- (c) implementing and exercising the port facility security plan;
- (d) undertaking regular security inspections of the port facility to ensure the continuation of appropriate security measures;
- (e) recommending and incorporating, as appropriate, modifications to the port facility security plan in order to correct deficiencies and to update the plan to take in to account of relevant changes to the port facility;
- (f) enhancing security awareness and vigilance of the port facility personnel;
- (g) ensuring adequate training to be provided to personnel responsible for the security of the port facility;
- (h) reporting to their relevant authorities and maintaining records of occurrences which threaten the security of the port facility;
- (i) coordinating implementation of the port facility security plan with the appropriate company and ship security officers;
- (j) coordinating with security services, as may be appropriate;
- (k) ensuring that standards for personnel responsible for security of the port facility are met;
- (l) ensuring that security equipment is properly operated, tested, calibrated and maintained;
- (m) assisting Ship Security Officers in confirming the identity of those seeking to board the ship when requested;
- (n) coordinating through the State Maritime Security Coordinators with local maritime security agencies (Indian Navy or Coast Guard or Security Management Plan) for being appraised on the coastal security levels in force and also to appraise the maritime security agencies of any incidents or upgrading or downgrading of Port Security Level 1 or Port Security Level 2 or Port Security Level 3; and
- (o) implementing and exercising the port facility security plan.

- (3) The port shall be responsible for providing the port facility security officer to fulfill the duties and responsibilities under the Safety Convention, International Ship and Port Facility Security Code and these rules.
- (4) The qualification of Port Facility Security Officer shall be as under, namely: —
- (a) for major port, the Port Facility Security officer shall possess Management Level Certificate of Competency (Foreign Going) having a merchant marine background;
 - (b) for non-major ports with Quay length of three hundred meters and above, the Port Facility Security Officer shall fulfil the following requirements, namely: -
 - (i) he shall possess Management level Certificate of Competency (Foreign Going); or
 - (ii) if employing ex-servicemen, such person should have served in a post not below the rank of Commander in the Indian Navy, or equivalent in the Indian Coast Guard;
 - (c) for non-major ports with Quay length of three hundred meters and below, the Port Facility Security Officer shall fulfil the following requirements, namely: -
 - (i) he shall have sailing experience on merchant ship at the level of Operation Level Officer; or
 - (ii) if employing ex-servicemen, such person should have served in a post not below their rank of Lieutenant Commander in the Indian Navy, or equivalent in the Indian Coast Guard.
 - (iii) NCV Master/Chief Engineer (Operational Level) may be considered for appointment as Port Facility Security Officer of non-major ports having quay length of less than three hundred metres;
 - (d) For Non - Exim ports the qualifications will be relaxed by the Designated

Authority.

- (5) The Port Facility Security Officer shall be given the necessary support to fulfill the duties and responsibilities under the Safety Convention.
- (6) The EXIM port facilities shall engage one Deputy Port Facility Officer having the following qualifications, namely: —
 - (i) the Deputy Port Facility Security Officer shall possess management level Certificate of Competency (Foreign Going) having merchant marine background; or
 - (ii) operation level Certificate of Competency (Foreign Going); or
 - (iii) Port Facility Security Officer Certificate; or
 - (iv) Commission officer from the Indian Navy and the Indian Coast Guard with Port Facility Security Officer course.
- (7) The Port Facility Security Officer and appropriate port facility security

personnel shall have knowledge and have received training, taking into account the guidance given in Part B of International Ship and Port Facility Security Code.

22. **Training, drills and exercise on port facility security.** — (1) The port facility security officer and appropriate port facility security personnel of the port shall have knowledge and received training in accordance with section 18 of the International Ship and Port Facility Security Code and taking into account guidance given in Part B of the said Code.

(2) To ensure the effective implementation and coordination of the port facility security plan, drills shall be carried out at appropriate intervals, taking into account the types of operations of the port facility, port facility personnel changes, the type of ship the port facility is serving, and other relevant circumstances, taking into account guidance given in Part B of the International Ship and Port Facility Security Code.

(3) The Port Facility Security Officer shall ensure the effective coordination and implementation of the port facility security plan by participating in the exercise at appropriate intervals, taking into account the guidance given in Part B of the International Ship and Port Facility Security Code.

(4) The Port Facility Security Officer shall ensure appropriate participation of the Port Facility Security Organisation (without impinging on the commercial activities of the port in all coastal or port or maritime security-related exercises convened by maritime security agencies, the Indian Navy or Coast Guard or the Security Management Plan from time to time with a sufficient notice period.

23. **Verification.**— (1) Every ship to which Part A of the International Ship and Port Facility Security Code applies, shall be subject to the verifications specified below, namely : —

- (a) an initial verification before the ship is put in service, or before the certificate required under these rules is issued for the first time, which shall include a complete verification of its security system and any associated security equipment covered by the relevant provisions of the Safety Convention, Part A of the International Ship and Port Facility Security Code and the approved ship security plan so as to ensure that the security system and any associated security equipment of the ship fully complies with the applicable requirements of the Safety Convention and Part A of the International Ship and Port Facility Security Code, and is in satisfactory condition, fit for the service for which the ship is intended;
- (b) renewal verification at intervals specified by the Designated Authority, but not exceeding five years, except as otherwise specified in these rules so as to ensure that the security system and any associated security equipment of the ship fully complies with the applicable requirements of Conventions and Part A of the International Ship and Port Facility Security Code and the approved

ship security plan and is in satisfactory condition, fit for the service for which the ship is intended;

- (c) at least one intermediate verification to be endorsed on the certificate shall be carried out which is to take place between the second and third anniversary date of the certificate and shall include inspection of the security system and any associated security equipment of the ship so as to ensure that it remains satisfactory for the service for which the ship is intended:

Provided that for the purposes of this clause, such intermediate verification shall be endorsed on such certificate; and

- (d) any additional verification as determined by the Designated Authority.
- (2) The verifications specified in sub-rule (1) shall be carried out by officers of the Designated Authority in accordance with Schedule IV.

Provided that the Designated Authority may entrust the verifications to a recognised security organisation or Principal Officer at the jurisdictional Mercantile Marine Department.

- (3) The Designated Authority shall fully guarantee the completeness and efficiency of the verification and shall undertake necessary arrangements to satisfy the obligation.
- (4) The security system and any associated security equipment of the ship after verification shall be maintained to conform other provisions of the Safety Convention and Part A of International Ship and Port Facility Security Code and the approved ship security plan:

Provided that, pursuant to any verification, no changes shall be made in security system and in any associated security equipment or the approved ship security plan without the sanction of the Designated Authority.

24. **Issue or endorsement of International Ship Security Certificate.** — An International Ship Security Certificate shall be issued in the format specified in Schedule-V after the initial or renewal verification and shall be issued or endorsed either by the Designated Authority or by the recognised security organisation

Provided that another contracting government may, at the request of the Designated Authority, cause the ship to be verified and, if satisfied that the provisions of the International Ship and Port Facility Security Code are complied with, shall issue or authorise the issue of an International Ship Security certificate to the ship and, where appropriate, endorse or authorise the endorsement of that certificate on the ship, in accordance with these rules:

Provided further that a copy of the certificate and a copy of the verification report shall be transmitted as soon as possible to the requesting contracting government:

Provided also that a certificate so issued shall contain a statement to the effect that it has been at the request of the contracting government and it shall have the same force and received the same recognition as the certificate issued by the Designated Authority

25. **Duration and validity of certificate.** — (1) An International Ship Security

Certificate shall be issued for a period specified by the Designated Authority, which shall not exceed five years.

- (2) Where the renewal verification is completed within and more than three months before the expiry date of the existing certificate, the new certificate shall be valid from the date of completion of the renewal verification to a date not exceeding five years from the date of expiry of the existing certificate.
- (3) Where the renewal verification is completed after the expiry date of the existing certificate, the new certificate shall be valid from the date of completion of the renewal verification to a date not exceeding five years from the date of expiry of the existing certificate.
- (4) If a certificate is issued for a period of less than five years, the Designated Authority may extend the validity of such certificate beyond the expiry date to the maximum period specified International Ship and Port Facility Security Code:

Provided that the verifications referred to in the International Ship and Port Facility Security Code, as applicable, are carried out as appropriate when a certificate is issued for a period of five years.

- (5) If a renewal has been completed and a certificate is not issued or placed on board the ship before the expiry date of the existing certificate, the Designated Authority, or the recognised security organisation, may endorse the existing certificate and such certificate shall be accepted as valid for a further period which shall not exceed five months from its expiry date.
- (6) If a ship, at the time when a certificate expires, is not in a port in which it is to be verified, the Designated Authority may extend the period of validity of such certificate:

Provided that such extension shall be granted only for the purpose of allowing the ship to complete its voyage to the port in which it is verified, and only in cases where it appears proper and reasonable to do so:

Provided further that no certificate shall be extended for a period longer than three months, and the ship to which an extension is granted shall not, on its arrival in the port in which it is to be verified, be entitled by virtue of such extension to leave that port without having a new certificate:

Provided also that when the renewal verification is completed, the new certificate shall be valid to a date not exceeding five years from the expiry date of the existing certificate before the extension was granted.

- (7) A certificate issued to a ship engaged on short voyages which has not been extended under the foregoing provisions, may be extended by the Designated Authority for a period of not exceeding one month from the date of expiry stated on such certificate:

Provided that when the renewal verification is completed, the new certificate shall be valid to a date not exceeding five years from the date of expiry of the existing certificate before the extension was granted.

- (8) If an Intermediate verification is completed before the period, then —
 - (a) the expiry date shown on the certificate shall be amended by endorsement to a date which shall not be more than three years later than the date on which the intermediate verification was completed;
 - (b) the expiry date may remain unchanged provided that one or more additional verifications are carried out so that the maximum intervals between the verifications specified are not exceeded.
 - (9) A certificate issued under these rules shall cease to be valid in any of the following cases, namely : —
 - (a) if the relevant verifications are not completed within the periods specified under this rule;
 - (b) if the certificate is not endorsed in accordance with the applicable rules;
 - (c) where a company assumes the responsibility for the operation of a ship, not previously operated by that company; and
 - (d) upon transfer of the ship to the flag of another state.
 - (10) In case of transfer of a ship from the flag of another contracting government for registration under the Act, such another contracting government shall, as soon as possible, transmit to the Designated Authority copies of, or all information relating to, the International Ship Security Certificate carried by the ship before the transfer and copies of available verification reports.
 - (11) In case a company that assumes responsibility for the operation of a ship not previously operated by that company, the previous company shall, as soon as possible, transmit to the receiving company copies of or any information related to the International Ship Security Certificate or to facilitate the verifications provided in this rule.
26. **Interim certification.** — (1) The certificates issued under rule 23 shall be issued only when the Designated Authority issuing the certificate is fully satisfied that the ship complies with the requirements of the International Ship and Port Facility Security Code and the Safety Convention:
- Provided that for the purposes of this sub-rule, until the certificate under rule 23 is issued, the Designated Authority may cause an Interim International Ship Security Certificate to be issued, in the following cases, namely:
- (a) a ship without a certificate, on delivery or prior to its entry or re-entry into service;
 - (b) transfer of a ship from the flag of a contracting government for registration under the Act;
 - (c) transfer of a ship for registration under the Act, from a State which is not a contracting government; or
 - (d) when a company assumes the responsibility for the operation of a ship not previously operated by that company.
- (2) An Interim International Ship Security Certificate shall only be issued when

the Designated Authority or the recognised security organisation has verified the fulfilment of the following particulars, namely: —

- (a) the ship security assessment required by Part A of the International Ship and Port Facility Security Code has been completed;
 - (b) a copy of the ship security plan meeting the requirements of Convention and Part A of the International Ship and Port Facility Security Code is provided on board, has been submitted for review and approval, and is being implemented on the ship;
 - (c) the ship is provided with a ship security alert system meeting the requirements of Safety Convention.
 - (d) the Company Security Officer—
 - (i) has ensured review of the ship security plans for compliance with Part A of the International Ship and Port Facility Security Code;
 - (ii) has ensured that the plan has been submitted for approval; and
 - (iii) has ensured that the plan is being implemented on the ship; and
 - (iv) has established the necessary arrangements, including arrangements for drills, exercises and internal audits, through which the Company Security Officer is satisfied that the ship shall successfully complete the required verification in accordance with sub rule (1) of rule 22, within a period of six months;
 - (e) arrangements have been made for carrying out the required verifications under rule 22;
 - (f) the master, the Security Officer of the ship and the personnel of other ship with specific security duties are familiar with their duties and responsibilities as specified in Part A of the International Ship and Port Facility Security Code and with the relevant provisions of the ship security plan placed on board; and have been provided such information in English; and
 - (g) the Ship Security Officer meets the requirements of Part A of the International Ship and Port Facility Security Code.
- (3) An Interim International Ship Security Certificate may be issued by the Designated Authority or by a recognised security organisation.
 - (4) An Interim International Ship Security Certificate shall be valid for a period of six months, or until the certificate required by these rules are issued, whichever comes first, and may not be extended beyond such period.
 - (5) The Designated Authority shall not cause a subsequent, consecutive Interim International Ship Security Certificate to be issued to a ship if, in the judgment of the Designated Authority or the recognised organisation one of the purposes of the ship or a Company in requesting such certificate is to avoid full compliance with the Safety Convention and Part A of the International Ship and Port Facility Security Code beyond the period of the initial interim

certificate, as required under these rules.

- (6) For the purposes of the Safety Convention, the Designated Authority may, prior to accepting an Interim International Ship Security Certificate as a valid certificate, ensure that the requirements of these rules have been met.

27. **Suspension or withdrawal of certification.** — The Designated Authority may suspend or withdraw the International Ship and Port Facility Security Certificate, in case of the following circumstances, namely: —

- (a) corrective actions are not completed within the stipulated time;
- (b) periodical verification is not completed;
- (c) amendments to International Security and Port Facility Code are not taken into account;
- (d) there is evidence of minor non-conformity or major non-conformity; or
- (e) substantial modification to the ship or port facility Security Management System is not notified to the Administration.

28. **Verification and certification for ports.** — (1) For issuing a Statement of Compliance to a port facility, the Designated Authority shall carry out an initial verification, by way of the following, namely: —

- (a) review of documents to verify that the security system complies with the International Ship and Port Facility Security Code; or
 - (b) conduct audit of a port in order to verify the effective functioning of the port facility security system for at least three months.
- (2) The port facility shall carry out an intermediate verification, which shall take place between the second and third annual date of the certificate issued under these rules or, as the case may be, to maintain the validity of such certificate and to verify the following particulars, namely: -
- (a) the effective functioning of the security system;
 - (b) compliance of the any modifications of the security management system with the requirements of the International Ship and Port Facility Security Code; and
 - (c) satisfactory implementation of any corrective actions
- (3) The port facility shall have annual verification for the compliance of the security system in ports.

29. **Power to exempt and dispense.** — The Central Government may allow a particular Indian ship, or port facility, or a group of Indian ships or port facilities, to implement other security measures e equivalent to those provided in these rules:

Provided that such security measures shall be as effective as those provided in these rules:

Provided further that, in such a case, the Central Government shall communicate to the International Maritime Organisation.

30. **Obligation of ships.**— Any ship entering any port in the jurisdiction of India shall provide the information ninety-six hours prior to its arrival in such port in the format provided in Schedule VI.
31. **Power to issue directions and guidelines.**— *Notwithstanding anything contained in these rules, the Central Government may issue directions or guidelines respectively to meet the purposes of the Safety Convention or the International Ship and Port Facility Security Code, or these rules.*
32. **Fees and measure:** – (1) Every port, or ship, or company, or person or body of persons to whom these rules apply, shall pay fees and the necessary measure shall be taken in accordance with the scale of fees and measure as specified in Schedule VII.
- (2) The fees paid under sub - rule (1) shall not be refunded.
33. **Control and compliance measures.** – The entry any ship to which these rules apply or its intention to enter any port, shall be subject to the provisions of regulation- 9 of Chapter – XI -2 of the Safety Convention.

SCHEDULE – I
[See rule 2 (a)]
INTERNATIONAL SHIP AND PORT FACILITY SECURITY CODE
REQUIREMNT FOR VESSELS ENGAGED ON INTERNATIONAL

VOYAGE, COASTAL VESSELS AND OTHER VESSELS

A. COASTAL VESSELS

S R . N O .	T Y P E O F V E S S E L	S H I P S E C U R I T Y P L A N	S H I P S E C U R I T Y O F F I C E R	C O M P A N Y S E C U R I T Y O F F I C E R	S H I P S E C U R I T Y A L E R T S Y S T E M	S E C U R I T Y . E Q U I P M E N T * *	A U T O M A T I C I D E N T I F I C A T I O N S Y S T E M	S H I P S E C U R I T Y C E R T I F I C A T E	A U D I T
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(1 0)
1 .	C O A S T A L V E S S	Y e s	Y e s	Y e s	Y e s	Y e s (o t h e r t h	T y p e A	Y e s	I N D I A N R E G I S

	ELMORE THAN 3000 GT					annight Vision Binocular			TER OF SHIPPING ORMER CANTILE MARINE DEPARTMENT
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2.	COASTALVESSELS BETWEEN 5000 TO 30000 GT	Yes	Yes (Not be Certificated but Trained by CSO)	Yes	No	Yes (other than Night Vision Binocular and Scanner)	Type A	Yes	INDIAN REGISTER OF SHIPPING OR MERCANTILE MARINED
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3.	VESSELS LESS THAN 500 GT / MORE THAN 300 GT . ON THE C	Yes	Yes (Need Not be Certified but Trained by CSO)	Yes	No	No	Type A	Yes	INDIAN REGISTERED SHIP IN GORMERCA N T I L E M A R I N

	O A S T								E D E P A R T M E N T
4 .	V E S S E L S B E T W E E N 3 0 0 G T A N D 5 0 0 G T I F O R	Y e s	N o	Y e s	N o	N o	N o	Y e s	I N D I A N R E G I S T E R O F S H I P P I N G O R M E R C A N T I L E M

ATTENDING IN VESSELS AND VESSELS LESS THAN 300 GROSS TON COAST								ARINE DEPARTMENT
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Note: - Coastal vessels herein imply those vessels which are not calling at foreign ports and solely operating between ports on the Indian Coast.

B. INTERNATIONAL VOYAGE

S R . N O	T Y P E O F V E S S E L	S H I P S E C U R I T Y P L A N	S H I P S E C U R I T Y O F F I C E R	C O M P A N Y S E C U R I T Y O F F I C E R	S H I P S E C U R I T Y A L E R T S Y S T E M	S E C U R I T Y . E Q U I P (A s p e r i s t a t t a c h e d)	A U T O M A T I C I D E N T I F I C A T I O N S Y S T E M	I N T E R N A T I O N A L / S H I P S E C U R I T Y C E R T I F I C A T E	A U D I T
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)

1.	Vessel of 500 Gross Tonnage or above on international v	Yes	Yes	Yes	Yes (With web display facility)	Yes	Type A	International Ship Security Certificate	Indian Register of Shipping or Mercantile Marine Department
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[illegible]

2	Vessel \geq 500 Gross Tonnage on international voyages	Yes	Yes	Yes	Yes (without web display)	Yes	Type A	International Ship Security Certificate	Indian Register of Shipping or Mercantile Marine Department
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C. OTHER VESSELS

S R . N O	T Y P E O F V E S S E L	S H I P S E C U R I T Y P L A N	S H I P S E C U R I T Y O F F I C E R	C O M P A N Y S E C U R I T Y O F F I C E R	S H I P S E C U R I T Y A L E R T S Y S T E M	S E C U R I T Y . E Q U I P (A s p e r l i s t a t t a c h e d	A U T O M A T I C I D E N T I F I C A T I O N S Y S T E M	I N T E R N A T I O N A L / S H I P S E C U R I T Y C E R T I F I C A T E	A U D I T
-----------------------	--	--	---	--	---	--	---	---	-----------------------

)			
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
1.	River Sea Vessels Type I V and ≥ 500 G ross Tonnage	Yes	Yes	Yes	Yes (without web display)	Yes	Type A**	Ship Security Certificate	Indian Register of Shipping or Merchantile M

	ge								ar ine De pa rt me nt
2.	R i v e r S e a V e s s e l T y p e I V a n d ≤ 5 0 0 G r o s s	Y e s	Y e s (n e e d n o t b e c e r t i f i c a t e d b u t t r a i	Y e s	N o	Y e s	T y p e B	Ship Sec urity Cert ificat e	I n d i a n R e g i s t e r o f S h i p p i n g o r M e r c a n

	Tonnage		ned by Company Security Officer)						ti le Marine Department
3.	River Sea Vessel Type I	Yes	Yes (need not be certificate	Yes	No	Yes	Type B	Ship Security Certificate	Indian Register of Ship

	I l a n d ≥ 5 0 0 G r o s s T o n n a g e		i c a t e d b u t t r a i n e d b y C o m p a n y S e c u r i t y O f f i c e r)						p i n g o r M e r c a n t i l e M a r i n e D e p a r t m e n t
4 .	R i v e r S	Y e s	N o	Y e s	N o	Y e s	T y p e B	Ship Secu rity Certif icate	I n d i a n R

	e a V e s s e l T y p e I a n d ≤ 5 0 0 0 G r o s s T o n n a g e								e g i s t r o f S h i p p i n g o r M e r c a n t i l e M a r i n e D e p a r t m e n t
5 .	R i v e	Y e s	N o	Y e s	N o	Y e s	T y p e	No	C o m p

	r S e a V e s s e l T y p e I						B		a n y
6.	R i v e r S e a V e s s e l T y p e I	Y e s	N o	Y e s	N o	Y e s	T y p e B	No	P o r t o r C o m p a n y
7.	M e c h a n i s e d	Y e s	N o	Y e s	Y e s	Y e s	T y p e B	Ship Secu rity Certif icate (MSV)	I n d i a n R e g is

	Sailing Vessels (MSVs) on international voyage								ter of Shipping or Mercantile Marine Department
--	--	--	--	--	--	--	--	--	---

8	Mechanized sailing vessels (MSVs) on coast or voyage	Yes	No	No	No	Yes	Type B	No	Indian Register of Shipping or Mercantile Marine Department
---	--	-----	----	----	----	-----	--------	----	---

									t m e n t
9	F i s h i n g v e s s e l s o f 2 0 m e t e r s o r a b o v e i n l e n g t	N o	N o	N o	N o	N o	T y p e B	No	I n d i a n R e g i s t e r o f S h i p p i n g o r M e r c a n t i l e M a

	h								r i n e D e p a r t m e n t
1 0 .	M o b i l e O f f s h o r e D r i f t (M O D U L E)	Y e s	Y e s	Y e s	Y e s (w i t h o u t w e b d i s p l a y)	Y e s	T y p e B	Ship Secu rity Certif icate	I n d i a n R e g i s t e r o f S h i p p i n g o r M e r c a n t

	propelled or non-propelled)								ile Marine Department
11.	Accommodation barges	Yes	Yes	Yes	Yes (without web display)	Yes	Type B	Ship Security Certificate	Indian Register of Shipping

									n g o r M e r c a n t i l e M a r i n e D e p a r t m e n t
--	--	--	--	--	--	--	--	--	--

Note 1:- Coastal voyage herein means Indian vessels operating between Indian ports.

Note 2:- Separate Ship Security Certificate for River Sea Vessel is not necessary as this would be included in the Harmonised Certificate for River Sea Vessel.

SCHEDULE – II
[See rule 10 (5) (o)]
SECURITY EQUIPMENTS:

** Vessels ≤ 300 gross tonnage may fit or install Automatic identification systems Type A or B.

S R · N O	S e c u r i t y E q u i p m e n t	V e s s e l o f 5 0 0 g r o s s t o n n a g e o r a b o v e	V e s s e l ≥ 5 0 0 g r o s s t o n n a g e o n i n t e r n a t i o n a l v o y a	V e s s e l ≤ 5 0 0 g r o s s t o n n a g e o n c o a s t a l v o y a g e	V e s s e l ≥ 5 0 0 g r o s s t o n n a g e o n c o a s t a l v o y a g e	M o b i l e o f s h o r e d r i l l i n g u n i t	A c c o m m o d a t i o n B a r g e s	R i v e r S e a V e s s e l T y p e 1	R i v e r S e a V e s s e l T y p e 2	R i v e r S e a V e s s e l T y p e 3	R i v e r S e a V e s s e l T y p e 4
-----------------------	---	--	---	---	---	---	---	---	---	---	---

[illegible]

4.	Photo identification card for Crew	All Crew	All Crew	All Crew	All Crew	All Crew	All Crew	All Crew	All Crew	All Crew	All Crew
5.	Floodlight (Fixed or	4 no.	4 no.	4 no.	4 no.	4 no.	4 no.	Not Required	Not Required	2 no.	2 no.

	P o r t a b l e)										
6	W a l k i e T a l k i e s	4 n o .	4 n o .	4 n o .	4 n o .	4 n o .	4 n o .	N o t R e q u i r e d	2 n o .	2 n o .	2 n o .
7	W h i s t l e s	4 n o .	4 n o .	4 n o .	4 n o .	4 n o .	4 n o .	3 n o .	3 n o .	3 n o .	3 n o .
8	D o g L e g M i r r o r	2 n o .	2 n o .	2 n o .	2 n o .	2 n o .	2 n o .	1 n o .	2 n o .	2 n o .	2 n o .
9	H a n d H e	2 n o .	2 n o .	2 n o .	2 n o .	2 n o .	2 n o .	1 n o .	2 n o .	2 n o .	2 n o .

[illegible]

	es f o r v i s i t o r s										
1 2 .	N i g h t V i s i o n B i n o c c u r s	1 n o .	1 n o .	1 n o .	1 n o .	1 n o .	1 n o .	N o t R e q u i r e d	N o t R e q u i r e d	1 n o .	1 n o .

SCHEDULE – III
[See rule 5 (3)]

Form of a Declaration of Security between a ship and a port facility*

DECLARATION OF SECURITY

Name of Ship:
Port of Registry
International Maritime Organization Number
Name of Port Facility

This Declaration of Security is valid from until.....for the following activities

.....
(list the activities with relevant details)

under the following security levels

Security levels for the ship
Security Level(s) for the port facility

The port facility and ship agree to the following security measures and responsibility to ensure compliance with the requirements of Part A of the International Code for the Security of ships and of Port Facilities.

The affixing of the initials of the Ship Security Officer or Port Facility Security Officer under these columns indicates that the activity will be done in accordance with the relevant approved plan by		
Activity	T	The ship

	h e p o r t f a c i l i t y	
Ensuring the Performanc e of all security duties		
Monitoring restricted areas to ensure that only authorized personnel have access		
Controlling access to the port facility		
Controlling access to the ship		
Monitoring of the ship, including berthing areas surroundin g the ship		
Handling of Cargo		
Delivery of ship's stores		

*This form of declaration of security is for use between a ship and a port facility. If

the declaration of security is to cover two ships this model should be appropriately modified.

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SCHEDULE IV
[See rules 10 (5) (h) and 22(2)]
Part- A

INTERNATIONAL SHIP AND PORT FACILITY SECURITY CODE

VERIFICATION SCHEDULE

Type of Verification : Interim or Initial or Renewal or Intermediate or Additional or Follow up*
Name of Vessel :
Date :
Name of Company :
Place :

S r . N o .	Time From Duration	To	Function or Department (Including Opening and Closing Meetings)
1			Opening meeting
2			Document review
3			Audit of ship security officer and Master
4			Verification of security procedure and records
5			Crew interview
6			Drill
7			Verification of physical security illumination and security equipment
8			Closing meeting

9				TOTAL TIME
---	--	--	--	------------

* Delete as appropriate
Team Leader

Signature of

DRAFT

PART-B

INTERNATIONAL SHIP AND PORT FACILITY SECURITY CODE

SHIP : INITIAL or INTERIM or RENEWAL or ADDITIONAL * VERIFICATION
REPORT

NAME OF SHIP:		ASSESSED
AT: MUMBAI		
DATE:		
TIME/ HOURS:	DAY HOURS:	DARK
HOURS:		
SHIP'S OPERATIONAL CONDITION DURING		
PORT OF REGISTRY: MUMBAI		GT:
CALL SIGN:		SHIP TYPE:
DATE OF BUILD:		
OFFICIAL NO:	INTERNATIONAL MARITIME ORGANISATION NO:	
	FLAG:	
COMPANY NAME:		
ISSC/ SSC NO:	ISSUED ON:	VALID
TILL:		
ISSUED BY: GOVERNMENT OF INDIA LAST INTERMEDIATE ENDORSEMENT		
TEAM LEADER:	AUDIT DATE(S):	
TEAM MEMBERS:		
ANY NON-COMPLIANCE: YES OR NO /NO. OF NON CONFORMITY'S:		
COPIES OF ALL NON-COMPLIANCES ARE ATTACHED TO THIS REPORT: YES/ NO/ N.A.		
NON CONFORMITY'S CLEARED: YES OR NO OR NOT APPLICABLE		
IF NC'S NOT CLEARED ADDITIONAL VERIFICATION REQUIRED: YES OR NO OR NOT APPLICABLE.		
SUMMARY OF REPORT:		

--

*** Delete as appropriate**

(A) Audit of the representative samples of the security system show that the security system is implemented effectively: YES OR NO (B) All security equipment specified in Ship Security Plan complies with applicable requirement: YES OR NO (C) All security equipment specified in Ship Security Plan is operational and is fit for the service for which it is intended: YES OR NO
ISSC VALID FOR 5 MONTHS PLACED ON BOARD: YES OR NO/ NOT APPLICABLE
RECOMMENDATION:
SIGNATURE OF TEAM LEADER NAME AND SIGNATURE OF SHIP MASTER OR SHIP SECURITY OFFICER

Note:

1. Interim certificate is issued for Coastal vessels and this report is considered as Provisional Ship Security Certificate valid for five months, only when the surveyor recommends issuance of full term certificate.
2. Use additional sheets for recommendations, if necessary.

PART-C
INTERNATIONAL SHIP AND PORT FACILITY SECURITY CODE OBSERVATIONS AND
RECOMMENDATIONS

DATE :
 AT :

COMPANY SECURITY OFFICER OR MASTER

TEAM LEADER AUDITOR NOTE:

REPORT ON OPERATIONAL STATUS OF SECURITY EQUIPMENT ON BOARD

S R . N O .	EQUIPMENT IDENTIFICATION	LOCA TION AS PER SHIP SECU RITY PLAN	OP ER ATI ON AL YES OR NO*	R E M A R K S
1	GENERAL ALARM			
2	HIGH BEAM TORCH			
3	BATONS			
4	PHOTO ID CARDS			
5	FLOOD LIGHT			
6	WHISTLES			
7	DOG LEG MIRROR			
8	HAND HELD METAL DETECTOR			
9	CABLE TIES			
10	DIFFERENT COLOUR CODE PASSES			
11	NIGHT VISION BINOCULARS			
12	AUTOMATIC IDENTIFICATION SYSTE (CLASS A)			

* Ship Security Equipment if fitted is to be tested during the Verification audit

Signature of Auditor

DOCUMENT REVIEW AND VERIFICATION OF RECORDS
LIST OF DOCUMENTS AND RECORDS

TITLE	D A T E D	R E V I E W S T A T U S	R E M A R K S *
DOCUMENTS			
APPROVED SHIP SECURITY PLAN			
SHIP SECURITY ASSESSMENT			
CONTINUOUS SYNOPSIS RECORD ON BOARD AND UPTO DATE			
AUTOMATIC IDENTIFICATION SYSTEM SURVEY RECORD (LAST SURVEY DATE)			
S H I P	S S A S S Y S T E M	S U R V E Y D A T E	
FLAG STATE NOTICES AND CIRCULARS			
RECORDS			
EXTERNAL VERIFICATION			
PORT STATE CONTROL INSPECTION			

INTERNAL AUDIT REPORT			
NON COMPLIANCE IDENTIFIED BY SHIP/ COMPANY AND CORRECTIVE ACTION APPLIED RELATIVE TO NON COMPLIANCE			
DRILLS AND EXERCISES			
TRAINING			
BREACHES OF SECURITY (INCIDENT REPORTS)			
RECORD OF SECURITY COMMUNICATIONS			
RECORD PROTECTED AGAINST UNAUTHORISED ACCESS			

RECORDS	YES OR NO	REMARKS*
CHANGES IN SECURITY LEVEL		
Communication from Flag State		
Acknowledgement to Flag State		
Communication from Port State (Through Port Facility Security Officer)		
Acknowledgement to Port State (Through Port Facility Security Officer)		
Specific instructions from Flag State		
Specific instructions from Port State		
Hull markings of International Maritime Organization No.		

Periodic review of ship security assessment		
Periodic review of Ship Security Plan		
Maintenance, calibration and testing of Ship Security Alert System (Mandatory) and other security equipment's as in Ship Security Plan		
Declaration of security (if applicable)		

DETAILS OF REMARKS TO BE ENTERED UNDER COMMENTS*

TEAM LEADER'S COMMENTS AND REMARKS AS ABOVE

(Use blank sheets where necessary)

PART-D
INTERNATIONAL SHIP AND PORT FACILITY SECURITY AUDIT
CHECKLIST

Ship Verification

Interim or Initial or Intermediate or Additional or Final or Follow-up or Renewal
Audit*

1. Is approved Ship Security Plan on board:
Yes or No
2. Is Ship Security Plan approved for :
Yes or No
 - a) Limited area operation
 - b) Worldwide operation
3. Request sent to Administration and authorisation for conduct of audit obtained
Yes or No
4. Does ship have evidence to show when Ship Security Assessment was carried out? Yes or No
5. Have modifications as recommended in the Ship Security Assessment been carried out?
Yes or No
6. Since when the SSP has been implemented on board
7. Implementation time
8. Less than two months with one Internal Audit, by company
Yes or No/Not Applicable
9. More than two months?
Yes or No / Not Applicable
10. Is the ship's complement on board as per the Ship Security Plan?
Yes or No
11. Will there be substantial amount of crew change during the audit (> 25%)?
Yes or No
12. Will the ship be available for verification of illumination during the dark hours?
Yes or No
13. Is the ship in operational condition?
Yes or No
14. Have security drills been carried out?
Yes or No
15. Has the Company Security Officer received security training per Part A 13.1 and Part B 13.2 of INTERNATIONAL SHIP AND PORT FACILITY SECURITY Code?
Yes or No
16. Is the ship holding the minimum security equipment as per the Administration circular and approved ship security plan?
Yes or No

17. Is there evidence to show that the Company has intent to put on board the ship security alert system by the applicable date?
Yes or No
18. Outstanding Non conformity's, if applicable, from previous audit
Yes or No/Not Applicable.
19. Periodical audit: Proposed audit dates are within the window period?
Yes or No/ Not Applicable
Renewal audit: Existing International Ship Security Certificate / Ship Security Company expired (If yes, authorization from the Administration is required

Yes or No/ Not Applicable

20. Delete as appropriate

PART-E
INTERNATIONAL SHIP AND PORT FACILITY SECURITY VERIFICATION
LOG

INTERNATIONAL SHIP AND PORT FACILITY SECURITY

PORT:

DATE:

NAME OF AUDITOR:			
TYPE OF AUDIT: Initial or Interim or Intermediate or Renewal or Additional or Follow up*			
Company name (as in Continuous Synopsis Record): DETAILS OF SHIP VERIFICATION:			
NAME OF SHIP	International Maritime Organization NUMBER	ROLE IN AUDIT (TEAM LEADER OR MEMBER OR SOLE AUDITOR)	TOTAL NUMBER IN THE TEAM
LOCATION OF THE AUDIT: MUMBAI		VERIFICATION BY AUDITEE:	

DATE:	(NAME, SIGNATURE, POSITION, STAMP AND DATE)
-------	---

* Delete as appropriate

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INTERNATIONAL SHIP AND PORT FACILITY SECURITY CODE Non-Compliance**Report : INTERNATIONAL SHIP AND PORT FACILITY SECURITY**

Type of verification : INTERIM OR INITIAL OR RENEWAL OR INTERMEDIATE ADDITIONAL*		
COMPANY NAME :		NON CONFORMITY NO:
SHIP' NAME:		International Maritime Organization NO:
Department	Section	Date:
Non- compliance Security System <input type="checkbox"/> Security Equipment <input type="checkbox"/>		
INTERNATIONAL SHIP AND PORT FACILITY SECURITY Code Reference:		Ship Security Plan Reference:
Auditee/ Ship Security Officer/ Master	Team Leader	Audit Team Member(s)
Corrective Action Report		
Ship Security Officer/ Master:		Proposed Completion Date: Actual Completion Date:
Clearance Report (to be completed by Mercantile Marine Department)		Date

SCHEDULE – V

[See rule 23]



**GOVERNMENT OF INDIA
MINISTRY OF SHIPPING
MERCANTILE MARINE DEPARTMENT**

INTERNATIONAL SHIP SECURITY CERTIFICATE

Certificate Number: Mercantile Marine Department/International Ship Security Certificate

Issued under the provisions of the

INTERNATIONAL SHIP AND PORT FACILITY SECURITY CODE

Name of Ship:

Indian Maritime Organization Number:

Distinctive number or letters:

Port of registry:

Type of ship:

Gross tonnage:

Name and address of the company:

Company identification no.:

THIS IS TO CERTIFY:

1. that the security system and any associated security equipment of the ship has been verified in accordance with section 19.1 of Part A of the INTERNATIONAL SHIP AND PORT FACILITY SECURITY CODE;
2. that the verification showed that the security system and any associated security equipment of the ship is in all respects satisfactory and that the ship complies with the applicable requirements of chapter XI-2 of the SOLAS Convention and Part A of the INTERNATIONAL SHIP AND PORT FACILITY SECURITY CODE;
3. that the ship is provided with an approved Ship Security Plan.

Date of initial or renewal verification on which the Certificate is based: dd/mm/yyyy

This Certificate is valid until dd/mm/yyyy subject to verifications in accordance with section 19.1.1 of Part A of the INTERNATIONAL SHIP AND PORT FACILITY SECURITY CODE.

Issued at: MUMBAI

(dd/mm/yyyy)

(Place of issue of certificate)

Date of issue:

(Signature of the duly authorised official issuing the Certificate) (Seal or stamp of issuing authority, as appropriate)



**GOVERNMENT OF INDIA MINISTRY OF SHIPPING
MERCANTILE MARINE DEPARTMENT**

International Maritime

Name of
Organiza

NO:

Endorsement for intermediate verification

THIS IS TO CERTIFY that at an intermediate verification required by section 19.1.1 of Part A of the INTERNATIONAL SHIP AND PORT FACILITY SECURITY CODE the ship was found to comply with the relevant provisions of Chapter XI-2 of the Convention and Part A of the INTERNATIONAL SHIP AND PORT FACILITY SECURITY CODE.

Intermediate verification

.....

..... official)

(Signature of
authorised official)

Place:

Date:

(Seal or stamp of the authority, as appropriate)

Endorsement for additional verification

Additional verification

Signed:

(Signature of authorised official)

Place:

Date: (Seal or stamp of the authority, as appropriate)

SCHEDULE – VI**[See rule 29]****Pre-arrival Notification of Security for vessel:**

This form is to be submitted at least 96 hours prior to the arrival of the vessel

1. Particulars of the Ship and contact details		
	1.1 Name of Ship	
1.2 Port of registry	1.3 Flag State	
1.4 Call sign	1.5 Maritime M o b i l e S e r v i c e I d e n t i t i e s N o .	1.6 Gross tonnage:
1.7 Name of Owner:		
1.8 24 X 7 hour contact details of Owner Name.....Tel No.....		
2. Port and port facility information		
2.1 Port of arrival and port facility where the ship is to berth, if known		
2.2 Expected date and time of arrival of the ship in port		
2.3 Primary purpose of call : Please specify purpose:		

3. Location of ship at the time report is made
 Latitude:Longitude:.....or
 Port.....

3.1 List the last 5 calls in chronological order with the most recent call first, at port facilities at which the ship conducted ship or port interface together with the security level at which the ship operated

S r . N o .	D a t e		Port, Country	Security level
	F r o m	T o		
1				
2				
3				
4				
5				
6	A copy of the ship crew and passenger list			

This form is to be submitted at least 96 hours prior to the arrival of the vessel

7	Other security related information
7 . 1	Is there any security related matter you wish to report? Yes No
7 . 1 . 1	If the answer to 7.1 is YES, provide details

CHEDULE – VII

[See rule 31]
SCALE OF FEES AND MEASURES

S R . N O .	I T E M S	CATEGORY	FEES AND MEASURES
A. SHIPPING COMPANY OR VESSEL: -			
1 .	S h i p S e c u r i t y P l a n	Approval of the Ship Security Plan- Cargo Ship	Rs. 1,25,000.
2 .		Approval of the Ship Security Plan-Passenger Ship	Rs. 1,30,000.
3 .		Amendment and review of the Ship Security Plan	Rs. 50,000.
4 .	C o n t i n u o u s S y n	Issue of Continuous Synopsis Record	Rs. 50,000.
5 .		Amendment to Continuous Synopsis Record	Rs. 25,000.

	o p s i s R e c o r d		
6 .	I n t e r n a t i o n a l o r C o a s t a l S e c u r i t y C e r t i f i c a t e	Verification audit visit for International or Coastal Ship Security Certificate (per visit)	Rs. 8,000.
7 .		Issuance of International or Coastal Ship Security Certificate	Rs. 15,000.
8 .		Extension or endorsement of International or Coastal Ship Security Certificate	Rs. 15,000.

	c a t e		
9 .	O t h e r F e e s	Company operating without a qualified Company Security Officer or declaring false name of the Company Security Officer or Alternate Company Security Officer	<p>a) Rs. 5,00,000.</p> <p>b) For repeated non-compliance an additional fee of Rs. 5,00,000 and withdrawal of International or Coastal Ship Security Certificate.</p>
1 0 .		Loss of Ship Security Plan	<p>Rs. 1,00,000.</p> <p>Note:</p> <p>(a.) The Company Security Officer shall make a new Ship Security Plan and change the earlier passwords or code word or contact details or confidential data immediately and report to the Administration. The Approved Ship Security Plan is to be arranged to be connected expeditiously to the ship;</p> <p>(b.) Fees for the approval of the New Ship Security Plan,</p>

			in the event of a loss, will be 1.5 times more than normal fees, namely- (i) for Cargo ship- Rs. 1,87,500; (ii) passenger ship- Rs.1,95,000.
11.		Withdrawal of International or Coastal Ship Security Certificate	Rs. 8,00,000.
12.		Carrying out an external security audit of Ship beyond the window period and ship sailing with expired International or Coastal Ship Security Certificate	Rs. 3,00,000.
13.		If the company requests for an audit in time (6 months before the due date) and recognised	No fee

		Security Organisation is unable to visit the ship for the audit	
14.		Company Security Officer engaged in multiple companies	Rs. 2,50,000.
15.		The ship sailing with an expired International or Coastal Ship Security Certificate	Detention of vessel till production of valid International or Coastal Ship Security Certificate and in addition fees of Rs. 800000 to be paid by the owner.
16.		Ship declaring incorrect information such as false port of calls or any other false information in pre-arrival notification of security	Detention of vessel till declaration of correct port of call or correct information with flag endorsement and in addition fees of Rs. 200000 to be paid by the owner.
17.		Ships not maintaining or not possessing Ship Security Equipment	Detention and Rs. 1,00,000. per faulty equipment or missing equipment.

18.		Ships Automatic Identification System found to be fed with false name/ false call sign/ false ship data	Detention of vessel till Ship security equipment is operational or fitted and in addition fees of Rs. 1,00,000 per faculty or missing equipment to be paid by the owner.
19.		Security or stowaway incidents not reported to the Administration by the Master or Company Security Officer	Rs. 2,00,000.
20.		Internal Security audit not conducted on time	Rs. 1,00,000.
21.		Repeated observation or non-conformity in the next external security audit of the ship	Rs. 2,00,000. followed by an additional external audit after six months.
B. Major Ports and non-Major Ports:-			
22.	Approva	For ports less than 500 M Quay Length	Rs. 50,000.
23.		For ports 500 M to 1000 M Quay Length	Rs. 1,00,000.

24.	Port Security Assessment Facility	For ports above 1000 M Quay Length	Rs. 1,50,000.
25.		For anchorage ports	Rs. 50,000.
26.		For single buoy mooring ports	Rs. 1,00,000.
27.	Appraisal of port facilities	For ports less than 500 M Quay Length	Rs. 1,00,000.
28.		For ports 500 M to 1000 M Quay Length	Rs. 1,50,000.
29.		For ports above 1000 M Quay Length	Rs. 2,00,000.
30.		For anchorage ports	Rs. 1,00,000.
31.		For single buoy mooring ports	Rs. 1,50,000.

	i t y s e c u r i t y p l a n		
32.	O t h e r F e e s	Amendment or review of assessment or plan	Rs. 25,000.
33.		Port operating without a qualified Port Facility Security Officer or declaring false name of the Port Facility Security Officer or Deputy Port Facility Security Officer	Rs. 1,00,000.
34.		Port Facility Security Officer found without training certificate from Designated Authority approved institute	Rs. 2,00,000.
35.		Port facilities not addressing or complying within the stipulated time frame on the observations raised during the Port Facility Security Audit	Rs. 2,00,000.
36.		Port not carrying annual or renewal of Port Facility Security audits within window periods	Rs. 2,00,000.
37.		Acting as Port Facility Security Officers for multiple port facilities	Rs. 2,50,000.
38.		Loss of Port Facility Security Assessment or Port Facility Security Plan	Rs. 5,00,000.

3 9 .		Port facility allowing Ships to berth without possession of International or Coastal Ship Security Certificate	Rs. 2,00,000.
4 0 .		Port facility allowing ships with declaration of incorrect pre-arrival notification of Security and not informing to Designated Authority	Rs. 2,00,000.
4 1 .		Port refusing to exchange Declaration of Security	Rs. 1,00,000.
4 2 .		Security or stowaway incidents not reported to the Designated Authority by the Port Facility Security Officer or agent	Rs. 2,00,000.
4 3 .		Internal Security audit not conducted on time	Rs. 1,00,000.
4 4 .		Repeated observation or non-conformity in the next external security audit of the port	Rs. 2,00,000 followed by an additional external audit after six months.

